

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Gang He and Daniel Gariépy

Serial No: 10/625,528

Art Unit: 2877

Filed: July 24, 2003

Examiner: N/A

Subject: High Optical Rejection Optical Spectrum Analyzer/Monochromator

THE COMMISSIONER OF PATENTS AND TRADEMARKS
P.O. Box 1450, Alexandria, VA 22313-1450, U.S.A.

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR 1.97(b)

Sir:

To comply with applicants' duty of disclosure under 37 CFR 1.56, Form PTO-1449, listing documents known to applicant, is submitted herewith.

- ☒ Copies of the listed documents are enclosed.
- ☐ This is a continuing application and copies of the documents were submitted in respect of the parent application No.

Relevance of information not in English - 37 CFR 1.98(a)(3)


- ☐ To comply with 37 CFR 1.98(a)(3), EITHER a copy of US patent number is submitted herewith, such US patent being cited in a family of patents corresponding to patent number listed in the form PTO 1449. OR an English language abstract is appended to ... patent No. (document AX)
- ☐ Document # discloses
- ☐ The relevance of is discussed in the specification.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application. Applicant does not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* prior art reference against the claims of the present application.

Applicant respectfully requests that the listed documents be considered by the Examiner and be made of record in the present application and that an initialled copy of form PTO-1449 be returned in accordance with MPEP Sec. 609.

The Commissioner is hereby authorized to charge any fee required to Deposit Account No. 20-0771.

Respectfully submitted

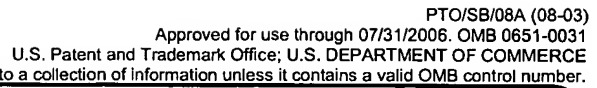


THOMAS ADAMS
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DATE: January 21, 2004

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Docket No. AP881US



Substitute for form 1449/PTO

(Use as many sheets as necessary)

Application Number	10/625,528
Filing Date	July 24, 2003
First Named Inventor	Gang HE
Art Unit	
Examiner Name	
Attorney Docket Number	AP881US

Sheet	1	of	1
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
	e	CA 2,315,452	02-11-2001	Wavetek ...		

Date	
Considered	

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.